Investment Goal Statement

The Investment Goal Statement is for us to give you better advice and service. Please fill out the the form if you would like our advice and services.

Account Number :	
1.Occupation	☐Public Official ☐Company Employee ☐Self-Employeed ☐Agriculture/Forestry ☐Homemaker ☐Other
2.Investing Personality	☐Risk-Adverse Investor ☐Risk-Taking Investor
3.Investing Time Period	☐Less than 1 year ☐More than 1 year ☐Less than 3 years ☐More than 3 years
4.Investing Amount Capability	
5.Investing Experience	☐Stocks ☐Marginal Trading ☐Bonds ☐Financial Products ☐Beneficiary Certificates ☐Long-term Bank Savings
6.To What Degree do you need help in investments, investing time, investing amount, and etc.	☐Without requesting, I would always like investment advice ( ) ☐Only when I request, I would like investment advice ( ) ☐I would not like investment advice ( )
■**Consultant's Opinion**	

Date Filled Out :

Client's Name : (Seal)

Consultant's Signature : (Seal)

※ Important Points

1. We like you to fill out this form yourself, however we can fill out the form for you. But you need to sign this agreement yourself for us to complete this statement.
2. Consultants are expected to give his clients ample advice to write proper investing goals through consultation on client's expected investment return and risk taking.
3. These following circumstances, the consultant will record in writing and get the client's approval:
   ① The client doesn't wish to fill out the investment goal statement by himself/herself.
   ② The client expects irrational investment return and risk taking.
4. Only answer yes/no on question 6.

SAMSUNG 삼성증권