

# Investment ID Application Form

## 1. Applicant's Information

Name : Individual (Last Name)\_\_\_\_\_ (First Name)\_\_\_\_\_

Institution \_\_\_\_\_

Date of birth or Establishment : \_\_\_\_\_

Address(including Phone number): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ (TEL: \_\_\_\_\_ )

Nationality : \_\_\_\_\_

## 2. Classification of the Applicant (Please specify by marking V)

### 1) Classification

- Individual
- Institution (In case of institution, please mark one of the followings)
  - Government  Local government  Governmental Authorities
  - International Organization  Incorporation  Foundation, Partnership

### 2) Type of Business

- Brokerage Company  Bank  Insurance Company  Trust Company
- Investment Company(Contractual Type, Company Type)  Pension Fund
- Other Financial Institution  Others(please explain in detail)

## 3. Related Institutions

- Standing Proxy Agent : SAMSUNG SECURITIES CO., LTD
- Custodian Bank :
- F/X Bank :

#### 4. Other Information

##### 1) Summary

- Name of CEO :
- Establishment Act and related terms & conditions:
- Business Area/Objectives/activities:
- Brief History :

##### 2) Financial Data (Current, In terms of US dollars)

- Assets :
- Liabilities :
- Capital :

##### 3) Major Shareholder's Status

Name	Investment Amount (USD)	% of Ownership	Nationality	Relationship with the Applicant

##### 4) Related Parties ((Please specify the nationalities)

- Management Company:
- Trustee Company:
- Advisory Company:
- Global Custodian :
- Related Fund's Name & ID No. :

5. Direct Investment Status in Korea (if any)

Investor Name	Nationality	Investment Details					Reference
		Date	Reason	Ord/Prf (Note 1)	No. of Shares	% of Ownership (Note2)	
Total							

\* Only applicable to the shares acquired in accordance with Foreign Capital Inducement Law.

Note 1) State whether the shares are in the form of Ordinary or non-voting.

Note 2) The ownership percentage out of all shares outstanding.

I/We apply for the Investment Registration through Samsung Securities Co., Ltd. and confirm that all the information provided in this application is true and accurate

Date :  
 Name of Applicant :  
 Signature :